

# 14-2641-cr

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## UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT

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UNITED STATES OF AMERICA,

Appellee,

-against-

DWAYNE BARRETT, AKA Sealed Defendant 3, Tall Man,

Defendant-Appellant,

FAHD HUSSAIN, AKA Ali, Moe, TAMESHWAR SINGH, AKA Sealed  
Defendant 5, SHEA DOUGLAS, JERMAINE DORE, AKA St. Kitts, Blaqs,  
TAIJAY TODD, AKA Sealed Defendant 4, Biggs, DAMIAN CUNNINGHAM,  
AKA Jaba,

Defendants.

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APPEAL FROM THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK

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**APPELLANT'S PETITION FOR PANEL REHEARING OR  
REHEARING *EN BANC***

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## **INTRODUCTION**

This case concerns the “crime of violence” definition in the residual clause at 18 U.S.C. § 924(c)(3)(B). The Panel held the clause is not void for vagueness. In so ruling, it refused to follow precedent from this Court and the Supreme Court. Rehearing is warranted.

## **STATEMENT OF FACTS**

### **A. Barrett’s Conviction and Sentence**

A jury convicted Dwayne Barrett of seven counts relating to various robberies. During one, and when Barrett was not present, a co-participant shot and killed a man. *See* Dkt. Entry 92 at 7.

Count Two alleged violation of § 924(c)(1)(A) based on possession of a gun in furtherance of a “crime of violence,” namely conspiracy to commit Hobbs Act robbery in violation of 18 U.S.C. § 1951(a).

A “crime of violence” is an “offense that is a felony” and:

- (A) has as an element the use, attempted use, or threatened use of physical force against the person or property of another, or
- (B) that by its nature, involves a substantial risk that physical force against the person or property of another may be used in the course of committing the offense.

§ 924(c)(3).

Subsection (A) is called the “force” (or “elements”) clause; subsection (B) is the “residual clause.” Both provisions – which refer to the “element[s]” and “nature” of an “offense” – require what is known as the “categorical approach.”

## B. The Categorical Approach

In 1990, the Supreme Court considered the definition of “violent felony” in the Armed Career Criminal Act (ACCA), which “always has embodied a categorical approach to the designation of predicate offenses.” *Taylor v. United States*, 495 U.S. 575, 588 (1990). The Court held, as the “Courts of Appeals uniformly ha[d] held,” that ACCA “mandates a formal categorical approach, looking only to the statutory definitions of the prior offenses, and not to the particular facts underlying those convictions.” *Id.* at 600. This approach is also used in defining “crime of violence” in 18 U.S.C. §§ 16, 924(c) and the Sentencing Guidelines. *See, e.g., United States v. Moreno*, 821 F.3d 223, 227 (2d Cir. 2016) (§ 16 and the Guidelines); *United States v. Ivezaj*, 568 F.3d 88, 95 (2d Cir. 2009) (§ 924(c)). There are two variants of the categorical approach.

In one, courts “focus on the minimum conduct criminalized by the [ ] statute.” *Moncrieffe v. Holder*, 569 U.S. 184, 191 (2013). “Because we examine what the [ ] conviction necessarily involved, not the facts underlying the case, we must presume that the conviction ‘rested upon [nothing] more than the least of th[e] acts’ criminalized.” *Id.* at 190-91 (brackets in *Moncrieffe*; quoting *Johnson v. United States*, 559 U.S. 133, 137 (2010)). This “minimum conduct” approach is used to determine if an offense fits a provision’s force clause or matches a generic crime in the provision’s text, such as “burglary” in ACCA.

The second variant, used to determine if an offense fits the residual clause, differs from the “minimum conduct” approach in that it does not “requir[e] that every conceivable factual offense covered by a statute must necessarily” qualify.

“Rather, the proper inquiry is whether the conduct encompassed by the elements of the offense, in the ordinary case, presents a serious potential risk.” *James v. United States*, 550 U.S. 192, 208 (2007), *overruled on other grounds by Johnson v. United States*, 135 S. Ct. 2551 (2015).

**C. *Johnson and Dimaya***

While Barrett’s appeal was pending, the Supreme Court decided *Johnson* in 2015. The Court held the residual clause definition of “violent felony” in ACCA is void for vagueness. The clause covered any felony that “involves conduct that presents a serious potential risk of physical injury to another.” § 924(e)(2)(B)(ii). “Under the categorical approach,” the Court explained, “[d]eciding whether the residual clause covers a crime [] requires a court to picture the kind of conduct that the crime involves in ‘the ordinary case,’ and to judge whether that abstraction presents a serious potential risk of physical injury.” 135 S. Ct. at 2557.

This “ordinary case” approach prompts, first, “grave uncertainty about how to estimate the risk posed by a crime. . . . How does one go about deciding what kind of conduct the ‘ordinary case’ of a crime involves? ‘A statistical analysis of the state reporter? A survey? Expert evidence? Google? Gut instinct?’” *Id.* (citation omitted). Second, there is “uncertainty about how much risk it takes for a crime to qualify as a violent felony” given that the inquiry turns on “a judge-imagined abstraction.” *Id.* at 2558. In sum, “the residual clause produces more unpredictability and arbitrariness than the Due Process Clause tolerates.” *Id.*

“[T]he dissent urges us to save the residual clause,” the Court said, “by interpreting it to refer to the risk posed by the particular conduct in which the



defendant engaged, not the risk posed by the ordinary case of the defendant's crime. In other words, the dissent suggests that we jettison . . . the categorical approach." *Id.* at 2561-62. "We decline the dissent's invitation." *Id.* at 2562.

In *Sessions v. Dimaya*, 138 S. Ct. 1204 (2018), the Court considered the residual clause at 18 U.S.C. § 16(b). That clause, like the one at § 924(c)(3)(B), defines a "crime of violence" as an "offense" that, "by its nature, involves a substantial risk that physical force against the person or property of another may be used in the course of committing the offense." The Court held § 16(b) is void: "*Johnson* is a straightforward decision, with equally straightforward application here." *Id.* at 1213. Like ACCA's residual clause, § 16(b) "also calls for a court to identify a crime's 'ordinary case' in order to measure the crime's risk. . . . And § 16(b) also possesses the second fatal feature of ACCA's residual clause: uncertainty about the level of risk that makes a crime 'violent.'" *Id.* at 1215.

As in *Johnson*, the *Dimaya* Court stuck to the "ordinary case" approach. "The question," five Justices explained, "is not whether 'the particular facts' underlying a conviction posed the substantial risk that § 16(b) demands. Neither is the question whether the statutory elements of a crime require (or entail) the creation of such a risk in each case that the crime covers. The § 16(b) inquiry instead turns on the 'nature of the offense' generally speaking. More precisely, § 16(b) requires a court to ask whether 'the ordinary case' of an offense poses the requisite risk." 138 S. Ct. at 1211 (footnote and citations omitted). Though the Chief Justice dissented from the judgment, he agreed here: "Under our decisions, [§ 16(b)] ask[s] the sentencing court to consider whether a particular offense,

defined without regard to the facts of the conviction, poses a specified risk.” *Id.* at 1235 (Roberts, C.J., dissenting). In so doing, “a court must take into account how th[e] elements [of the offense] will ordinarily be fulfilled.” *Id.* at 1236. Thus, a six-Justice majority – not a plurality – adhered to the “ordinary case” approach.

After *Dimaya*, the government asked this Court to drop the categorical approach in favor of a fact-based inquiry. Barrett explained why that is no option. The Supreme Court has held the “language” of the residual clause “requires us to look to the elements and the nature of the offense of conviction, rather than to the particular facts.” *Leocal v. Ashcroft*, 543 U.S. 1, 7 (2004). As that text has not changed, there is no basis to reject the textually mandated categorical approach. And given that approach, § 924(c)(3)(B) is void. Three circuits agree. *See United States v. Davis*, 903 F.3d 483 (5th Cir. 2018), *cert. pet. filed* Oct. 3, 2018, No. 18-431; *United States v. Eshetu*, 898 F.3d 36 (D.C. Cir. 2018); *United States v. Salas*, 889 F.3d 681 (10th Cir. 2018), *cert. pet. filed* Oct. 3, 2018, No. 18-428. Two circuits have dropped the categorical approach. *See United States v. Douglas*, \_\_\_ F.3d \_\_\_, 2018 WL 4941132 (1st Cir. Oct. 12, 2018); *Ovalles v. United States*, \_\_\_ F.3d \_\_\_, 2018 WL 4830079 (11th Cir. Oct. 4, 2018) (en banc). But no one (including the Panel) says § 924(c)(3)(B) survives the categorical approach.

#### **D. The Panel’s Decision**

The Panel conceded “*Dimaya* and *Johnson* held that the accepted categorical approach to residual clauses – the ordinary-case standard – was unconstitutionally vague.” Exhibit A at 22. The Panel thus tried not to use that approach.

First, it used the “traditional categorical approach,” *id.* at 19, by “applying

the elements of [a] crime to § 924(c)(3)(A) together with § 924(c)(3)(B).” *Id.* The Panel cited this Court’s “*Patino/Chimurenga* line of precedent,” *id.*, that “conspiracy to commit a crime of violence is itself a crime of violence” under the residual clause. *Id.* at 16. The Panel then cited *United States v. Hill*, 890 F.3d 51 (2d Cir. 2018), *cert. pet. due* Nov. 21, 2018, which held Hobbs Act robbery fits § 924(c)’s force clause.<sup>1</sup> Thus, the Panel said, “‘in each case that the [Hobbs Act robbery conspiracy] crime covers,’ the risk of force is present.”<sup>2</sup> Exhibit A at 21 (emphasis and brackets in Panel opinion; quoting *Dimaya*, 138 S. Ct. at 1211).

Notably, the government never made an “each case” argument. “And the government says it disagrees with this [] holding in *Barrett*.” *Douglas*, \_\_\_ F.3d \_\_\_, 2018 WL 4941132, at \*12. So does the First Circuit. *Id.*

Second, invoking the canon of constitutional avoidance, the Panel agreed with the government that the residual clause may be read “to present a question of fact to be found by the trial jury according to the defendant’s ‘real-world conduct.’” Exhibit A at 23. In so ruling, the Panel made no mention of the Supreme Court’s contrary (and unanimous) holding in *Leocal*.

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<sup>1</sup> As *Hill* is wrong, *Barrett* stands by his argument that his convictions under Counts Four, Six and Seven must also be reversed. See Dkt. Entries 128, 172.

<sup>2</sup> The panel in *United States v. Fiseku*, \_\_\_ F.3d \_\_\_, 2018 WL 4780560 (2d Cir. Oct. 4, 2018), said *Barrett* holds “conspiracy to commit Hobbs Act robbery meets the definition of a ‘crime of violence’ in . . . 18 U.S.C. § 924(c)(3)(A).” *Id.* at \*8 n.7. But that is § 924(c)’s force clause, which the Panel did not say Hobbs Act conspiracy fits. Conspiracy does not have “as an element the use, attempted use, or threatened use of physical force,” § 924(c)(3)(A), given that “conspiracy’s elements are met as soon as the participants have made an agreement.” *Dimaya*, 138 S. Ct. at 1219.

## **REASONS FOR GRANTING REHEARING**

### **The Panel’s Opinion Violates Circuit and Supreme Court Precedent**

#### **A. The “Ordinary Case” Approach Applies to the Residual Clause**

As shown, the residual clause is void given the “ordinary case” approach. “That may be so,” the Panel allowed. Exhibit A at 19. It thus said it was applying a “traditional categorical approach” in ruling “*each case*” of conspiracy to commit Hobbs Act robbery fits § 924(c)’s residual clause because substantive Hobbs Act robbery fits the force clause. *Id.* at 21 (emphasis in original). *See also id.* n.9.

But there is nothing “traditional” about the Panel’s approach, which the government and First Circuit reject. *See Douglas*, \_\_\_ F.3d \_\_\_, 2018 WL 4941132, at \*12. The inquiry under the residual clause has never been “whether the statutory elements of a crime require (or entail) the creation of [] a risk in *each case*.” *Dimaya*, 138 S. Ct. at 1211 (emphasis added). The “inquiry instead turns on the ‘nature of the offense’ generally speaking. More precisely, [it] requires a court to ask whether ‘the ordinary case’ of an offense poses the requisite risk.” *Id.*

The “*Patino/Chimurenga*” cases do not support the Panel: they use the “ordinary case” approach. They do not say “each case” or “every conceivable,” *James*, 550 U.S. at 208, conspiracy to use force poses a substantial risk of force. The cases say the “‘character and effect of a conspiracy . . . must be looked at as a whole’” – *i.e.*, in the “ordinary case” – rather than “‘by viewing its separate parts’”— *i.e.*, its elements and the “minimum conduct” they demand. *United States v. Patino*, 962 F.2d 263, 267 (2d Cir. 1992) (quoting *United States v. Chimurenga*, 760 F.2d 400, 404 (2d Cir. 1985)). Thus, a plot to deny one’s civil

rights in violation of 18 U.S.C. § 241 fits § 924(c)(3)(B) not because “each case” poses a substantial risk of force, but because “applying physical force is perhaps the most obvious way” to break that law. *United States v. Acosta*, 470 F.3d 132, 136 (2d Cir. 2006) (citing *Patino*). *See also Anderson v. United States*, 417 U.S. 211, 226 (1974) (“§ 241 embraces a conspiracy to stuff the ballot box.”).

The “*Patino/Chimurenga*” cases are right not to say “each case” of a conspiracy to use force poses a “substantial risk” of force: that is not so. For example, “to establish a Hobbs Act conspiracy, the government does not have to prove any overt act. . . . The government needs to prove only that an agreement to commit extortion [or robbery] existed.” *United States v. Gotti*, 459 F.3d 296, 338 (2d Cir. 2006) (citation omitted). The “minimum conduct” for conviction is thus an agreement that is never acted on. Many are acted on – one could argue that is the “ordinary case” – but the sole criterion for conviction is an agreement. Simply agreeing to do something, without ever taking any steps to actually do it, poses no “substantial risk” of force. *See also United States v. Stevens*, 559 U.S. 460, 478 (2010) (“[T]he text says ‘serious’ value, and ‘serious’ should be taken seriously.”).

In any event, even if “each case” of Hobbs Act conspiracy posed a “substantial risk” of force, *Johnson* explicitly rejected the “suggestion that the existence of *some* obviously risky crimes establishes the residual clause’s constitutionality.” 135 S. Ct. at 2561 (emphasis in original). The clause is either constitutional or not; if not, it cannot be used. The Panel ignored this crucial point. *See also id.* (“[O]ur holdings squarely contradict the theory that a vague provision is constitutional merely because there is some conduct that clearly falls within the

provision’s grasp. . . . [T]he existence of some clearly risky crimes [does not] save the residual clause.”) (emphasis omitted).

Hobbs Act conspiracy fails the “each case” test and, even if it did not, that would not save the residual clause. The “ordinary case” approach applies. And under that approach, as no one disputes, the residual clause is void.

**B. A Fact-Specific Approach Does Not Apply to the Residual Clause**

“Where an intervening Supreme Court decision [] casts doubt on our prior precedent,” the Panel said, “this court is not foreclosed from considering whether the statutory text might be construed in a different way to avoid [] constitutional concerns.” Exhibit A at 22. The Supreme Court has said exactly the opposite.

The Court has “reject[ed] ‘a dynamic view of statutory interpretation, under which the text might mean one thing when enacted yet another if the prevailing view of the Constitution later changed.’” *Clark v. Martinez*, 543 U.S. 371, 382 (2005) (citation omitted). This Court has long read the text of the residual clause as requiring the categorical approach. “Under the language” of § 16(b), which is identical to § 924(c)(3)(B), a “‘crime of violence’ is analyzed ‘by its nature.’ We believe that this language compels an analysis that is focused on the intrinsic nature of the offense rather than on the factual circumstances surrounding any particular violation.” *Dalton v. Ashcroft*, 257 F.3d 200, 204 (2d Cir. 2001). The Supreme Court agreed – unanimously – in 2004: the residual clause’s “language requires us to look to the elements and the nature of the offense of conviction, rather than to the particular facts relating to [a] crime.” *Leocal*, 543 U.S. at 7.

What has changed? Not the text. Rather, a constitutional problem emerged:

“more unpredictability and arbitrariness than the Due Process Clause tolerates.” *Johnson*, 135 S. Ct. at 2558. Though the Supreme Court had earlier rejected just that challenge, in *Johnson* “the prevailing view of the Constitution [] changed.” *Martinez*, 543 U.S. at 382. And such a change, the Supreme Court has clearly said, does not license a “dynamic view of statutory interpretation.” *Id.*

Text cannot “compel” a categorical approach, *Dalton*, 257 F.3d at 204, and then suddenly not. “That is not how the canon of constitutional avoidance works.” *Jennings v. Rodriguez*, 138 S. Ct. 830, 843 (2018). The canon is for avoiding certain questions about newly-enacted statutes, not “rewrit[ing]” well-established laws felled by subsequent jurisprudence. *Id.* As the Supreme Court and this Court have held, the text here “requires” the categorical approach. *Leocal*, 543 U.S. at 7. Very notably, *Leocal* and *Dalton* appear nowhere in the Panel’s opinion.

The Panel instead invoked *Nijhawan v. Holder*, 557 U.S. 29 (2009), which observes “words such as ‘crime,’ ‘felony,’ ‘offense,’ and the like sometimes refer to a generic crime . . . and sometimes refer to the specific acts.” *Id.* at 33-34. In adopting the latter reading for “fraud or deceit in which the loss to the . . . victims exceeds \$10,000,” *id.* at 34, the Court said that “language . . . is consistent with a circumstance-specific approach.” *Id.* at 38. Here, in sharp contrast, the “language” of § 924(c)(3)(B) “requires us to look to the elements and the nature of the offense of conviction, rather than to the particular facts.” *Leocal*, 543 U.S. at 7.

The Panel found it “significant” that *Taylor*, *Johnson* and *Dimaya* involved “prior convictions” whereas § 924(c) concerns “crimes of *pending* prosecution.” Exhibit A at 29 (emphasis in original). Yet none of those cases turned on that fact:

all three cite the text as a (or the) key reason for the categorical approach. *See Taylor*, 495 U.S. at 600; *Johnson*, 135 S. Ct. at 2562; *Dimaya*, 138 S. Ct. at 1211 (citing “nature of the offense” and the residual clause’s “‘by its nature’ language”).

“The Supreme Court has already interpreted Section [16](b), and it has done so in unmistakable terms.” *United States v. Vilar*, 729 F.3d 62, 74 (2d Cir. 2013). The “language” of § 16(b), which is identical to that of § 924(c)(3)(B), “requires” a categorical approach. *Leocal*, 543 U.S. at 7. To switch to a fact-specific inquiry in § 924(c) cases “‘would establish . . . the dangerous principle that judges can give the same statutory text different meanings in different cases.’” *Vilar*, 729 F.3d at 75 (quoting *Martinez*, 543 U.S. at 386). The Supreme Court has refused that path. Indeed, lest the forest be lost amid the trees, that Court has refused to do the very thing the Panel did: drop the textually mandated categorical approach.

The Panel said the approach was kept “because a conduct-specific application of § 16(b) to a crime of *prior* conviction would only replace one constitutional concern (vagueness) with another (abridgment of the right to trial by jury).” Exhibit A at 30 (emphasis in original). Putting aside the textual reasons compelling the categorical approach, the Panel’s proposal is unconstitutional.

If a “crime of violence” hinges on specific facts and not the “ordinary case,” then scores of people who pleaded guilty to § 924(c) did so without “real notice of the true nature of the charge,” which is “the first and most universally recognized requirement of due process.” *Bousley v. United States*, 523 U.S. 614, 618 (1998). Each person was also “misinformed as to his right to have the charged [“crime of violence”] proved to a jury,” and thus the plea “was not knowing [and] voluntary.”



*United States v. Gonzalez*, 420 F.3d 111, 134 (2d Cir. 2005).

Likewise for trials, the indictment did not “fairly inform[] [the] defendant of the charge against which he must defend.” *Hamling v. United States*, 418 U.S. 87, 117 (1974). People like Barrett had no clue they had to (or could) defend against the “crime of violence” charge, which was a legal issue for the judge rather than a factual claim to dispute to a jury. In addition, Barrett’s indictment is “no longer the indictment of the grand jury who presented it.” *Stirone v. United States*, 361 U.S. 212, 216 (1960). The grand jury only alleged a conspiracy that is a “crime of violence” in the “ordinary case,” not that Barrett’s specific conduct fit that bill.<sup>3</sup>

The Panel agreed its approach “would lead to inconsistent results, with certain crimes being found to satisfy the § 924(c)(3)(B) definition in some cases but not in others.” Exhibit A at 32. Besides Congress not wanting that, *see Taylor*, 495 U.S. at 601 (“no one suggested that a particular crime might sometimes count towards enhancement and sometimes not, depending on the facts”), this “violate[s] the requirement of the Due Process Clause that a criminal statute give fair warning of the conduct which it prohibits.” *Bowie v. City of Columbia*, 378 U.S. 347, 350 (1964). When an offense can both count and not count, there is no “fair warning.”

And with an approach emphasizing the presence of a gun, *see* Exhibit A at 34, there is no limit to what a jury may deem a “crime of violence.” Knowing the defendant was armed, it may find there was a “substantial risk [of] physical force .

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<sup>3</sup> The Panel thus “destroyed [Barrett’s] substantial right to be tried only on charges presented in an indictment returned by a grand jury. Deprivation of such a basic right is far too serious to be . . . dismissed as harmless error.” *Stirone*, 361 U.S. at 217. At minimum, Barrett merits a new trial.

. . . in the course of” his counterfeiting money, *see* 18 U.S.C. § 471, paying a bribe, *see* § 201, sharing classified data with the press, *see* § 798, lying to the FBI, *see* § 1001, using someone else’s identity, *see* § 1028, selling bootleg DVDs or fake Gucci bags, *see* §§ 2318, 2320, or anything else. When a “crime of violence” is whatever a jury says it is, there is no “fair warning.”

In 1984, Congress narrowed § 924(c) – which had applied to “any felony” – to apply only to a “crime of violence.” *See* Pub. L. 98-473 § 1005; 98 Stat. 1837 (1984). The Panel’s ruling undoes that: any felony can now qualify again. This is a “judicial enlargement of a criminal act by interpretation,” *Bowie*, 378 U.S. at 352, and thus violates separation of powers principles. *See Dimaya*, 138 S. Ct. at 1212 (opinion of four Justices); *id.* at 1227 (Gorsuch, J., concurring).

The ruling also raises ex post facto problems: an armed counterfeiter (or whoever) faces prosecution for pre-ruling conduct that did not violate § 924(c) but might today. *See Bowie*, 378 U.S. at 354 (The Constitution “bar[s] retroactive criminal prohibitions emanating from courts as well as from legislatures.”).

In short, the Panel’s approach spawns unconstitutionality of its own.

Ultimately, the Panel said, “it is far preferable for a jury to be able to distinguish between crimes . . . than for it to be told, as a matter of law, that [an] offense is a violent crime [or not].” Exhibit A at 32. “Whatever merits these and other policy arguments may have, it is not the province of this Court to rewrite the statute to accommodate them.” *Artuz v. Bennett*, 531 U.S. 4, 10 (2000). The “only permissible interpretation of the text,” *id.*, “requires” the categorical approach. *Leocal*, 543 U.S. at 7. Given that approach, the residual clause is void.

**CONCLUSION**

The Court should grant rehearing and reverse Barrett's convictions under Counts Two, Four, Six and Seven.

Respectfully submitted,

DATED: October 22, 2018

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# **EXHIBIT A**

14-2641-cr  
USA v. Barrett

In the  
United States Court of Appeals  
for the Second Circuit

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AUGUST TERM 2015

No. 14-2641-cr

UNITED STATES OF AMERICA,  
*Appellee,*

v.

DWAYNE BARRETT, AKA SEALED DEFENDANT 3, AKA TALL MAN,  
*Defendant-Appellant,*

FAHD HUSSAIN, AKA ALI, AKA MOE, TAMESHWAR SINGH, AKA  
SEALED DEFENDANT 5, SHEA DOUGLAS, JERMAINE DORE, AKA ST.  
KITTS, AKA BLAQS, TAIJAY TODD, AKA SEALED DEFENDANT 4, AKA  
BIGGS, DAMIAN CUNNINGHAM, AKA JABA,

*Defendants.\**

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On Appeal from the United States District Court  
for the Southern District of New York

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ARGUED: JANUARY 22, 2016  
DECIDED: SEPTEMBER 10, 2018

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\* The Clerk of Court is directed to amend the caption as set forth above.

14-2641-cr  
*United States v. Barrett*

Before: WINTER, RAGGI, and DRONEY, *Circuit Judges*.

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On appeal from a judgment entered in the United States District Court for the Southern District of New York (Sullivan, J.) following a jury trial, defendant challenges his conviction for using firearms in the commission of violent crimes, *see* 18 U.S.C. § 924(c)(1)(A), in one case causing death, *see id.* § 924(j). Defendant argues that the predicate felonies for these firearms offenses—substantive and conspiratorial Hobbs Act robbery, *see id.* § 1951—are not “crime[s] of violence” within the meaning of § 924(c)(3), a conclusion he maintains is compelled by the Supreme Court’s decisions in *Sessions v. Dimaya*, 138 S. Ct. 1204 (2018), and *Johnson v. United States*, 135 S. Ct. 2551 (2015). Defendant’s argument as to substantive Hobbs Act robbery is defeated by this court’s post-*Dimaya* decision in *United States v. Hill*, 890 F.3d 51 (2d Cir. 2018), which holds substantive Hobbs Act robbery to be a categorical crime of violence under § 924(c)(3)(A). His argument as to conspiratorial Hobbs Act robbery fails for two reasons. First, our precedent has long recognized that a conspiracy to commit a crime of violence is itself a crime of violence, and *Dimaya/Johnson* warrant no different conclusion because we need not look beyond the elements of Hobbs Act robbery conspiracy to follow our precedent here. Second, and in any event, the § 924(c)(3) definitions of a crime of violence apply only to the predicate offense of a crime of *pending* prosecution, not a crime of *prior* conviction as in *Dimaya* and *Johnson*. This means that any § 924(c)(3)(B) factfinding as to the violent nature of the predicate offense and the risk of physical force in its commission can be made by the trial jury in deciding the defendant’s guilt, thus avoiding both the Sixth Amendment and due process vagueness concerns at issue in *Dimaya* and *Johnson*. The fact

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that the jury was not charged to make such findings here is harmless error because the record of beatings, shootings, and murder in this case admits no other conclusion but that the charged robbery conspiracy was a violent crime under § 924(c)(3)(B).

AFFIRMED.

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KELLEY J. SHARKEY, ESQ., Brooklyn,  
New York, *for Defendant-Appellant.*

MICHAEL D. MAIMIN, Assistant United  
States Attorney (Amy R. Lester, Jessica A.  
Masella, Karl Metzner, Assistant United  
States Attorneys, *on the brief*), *for* Geoffrey S.  
Berman, United States Attorney for the  
Southern District of New York, New York,  
New York, *for Appellee.*

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REENA RAGGI, *Circuit Judge:*

Defendant Dwayne Barrett stands convicted after a jury trial in the United States District Court for the Southern District of New York (Richard J. Sullivan, *Judge*) of conspiracy to commit Hobbs Act robbery, *see* 18 U.S.C. § 1951 (Count One); using a firearm in the commission of that conspiracy, *see id.* §§ 924(c)(1)(A) and 2 (Count Two); two substantive Hobbs Act robberies, *see id.* §§ 1951 and 2 (Counts Three and Five); and using firearms in the commission of those robberies, *see id.* §§ 924(c)(1)(A) and 2 (Counts Four and Six); in one case causing death, *see id.* §§ 924(j) and 2 (Count Seven). Sentenced to a total prison term of 90 years, Barrett now challenges his conviction, arguing through counsel that (1) his Counts Two, Four,

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Six, and Seven firearms convictions must be vacated and those charges dismissed because the felonies in which the firearms were used—substantive and conspiratorial Hobbs Act robbery—are not “crime[s] of violence” within the meaning of § 924(c)(3), a conclusion he maintains is compelled by the Supreme Court’s recent decisions in *Sessions v. Dimaya*, 138 S. Ct. 1204 (2018), and *Johnson v. United States*, 135 S. Ct. 2551 (2015)<sup>1</sup>; (2) his conviction must be vacated in its entirety and a new trial ordered because cell phone and videotape evidence was erroneously admitted at trial; and (3) in any event, his sentence must be vacated and new sentencing ordered because the mandatory consecutive sentencing provision of § 924(c)(1)(C) should not have been applied to his § 924(j) Count Seven conviction. In supplemental *pro se* briefs, Barrett raises additional evidentiary, sufficiency, charging, and prosecutorial misconduct challenges.

In a summary order filed today, we address all of Barrett’s arguments except the first, *i.e.*, his “crime of violence” challenge to the Hobbs Act offenses underlying his §§ 924(c)(1)(A) & (j) convictions. We here conclude that Barrett’s challenge to his Counts Four, Six, and Seven convictions—predicated on *substantive* Hobbs Act robberies—is defeated by *United States v. Hill*, 890 F.3d 51 (2d Cir. 2018), which, post-*Dimaya*, holds substantive Hobbs Act robbery to be a categorical crime of violence within the definition of § 924(c)(3)(A). Barrett’s challenge to his Count Two conviction—predicated on a Hobbs Act robbery *conspiracy*—fails for two reasons. First, our precedent has long held that a conspiracy to commit a categorical crime of violence is itself a categorical crime of violence. *See, e.g., United States v. Patino*, 962 F.2d 263, 267 (2d Cir. 1992). *Dimaya* and *Johnson* compel no

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<sup>1</sup> Decision in this case was held pending the Supreme Court’s decision in *Dimaya* and this court’s post-*Dimaya* decision in *United States v. Hill*, 890 F.3d 51 (2d Cir. 2018).



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different conclusion because we need look only to the elements of Hobbs Act robbery conspiracy as applied to § 924(c)(3)(A) together with § 924(c)(3)(B) in following our precedent here. Second, and in any event, a conduct-specific, rather than categorical, approach to § 924(c)(3)(B) is appropriate because the predicate offense defined by that statute is an element of the crime of *pending* prosecution, not a crime of *prior* conviction as in *Dimaya* and *Johnson*. This means that the trial jury, in deciding guilt, can determine whether the predicate offense “by its nature, involve[d] a substantial risk that physical force . . . may be used” in committing the offense, 18 U.S.C. § 924(c)(3)(B), thereby avoiding both the trial-by-jury and due process vagueness concerns identified in *Dimaya* and *Johnson*. The fact that the jury was not charged to make such findings here is harmless error because the record of beatings, shootings, and murder in this case admits no other conclusion but that the charged robbery conspiracy was a violent crime under § 924(c)(3)(B). *See, e.g., Neder v. United States*, 527 U.S. 1, 15 (1999).

Accordingly, the judgment of conviction is affirmed.

## BACKGROUND

Between August 2011 and January 2012, Barrett joined together with others (the “Crew”) in a conspiracy to commit a series of frequently armed, and invariably violent, robberies. The Crew generally targeted small business operators believed to be in possession of cash or valuables. Co-conspirator Fahd Hussain, himself a Bronx storeowner, identified most of these targets for the Crew. During the robberies, Crew members wore masks and gloves to conceal their identities. They used guns, knives, baseball bats, and

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their fists to threaten and coerce victims, physically injuring several and killing one.

### **I. The Robberies**

To address Barrett's § 924(c) challenge, we need only summarize certain robberies and attempted robberies supporting his Count One conviction for Hobbs Act robbery conspiracy. In doing so, we indicate the two particular robberies supporting Barrett's substantive Hobbs Act convictions on Counts Three and Five, as well as his § 924(c)(1) firearms convictions on Counts Two, Four, and Six, and his § 924(j) firearms-murder conviction on Count Seven.

1. *Rauf Robbery*: On August 22, 2011, Barrett and three other Crew members traveled to Matamoras, Pennsylvania, where they robbed Abdul Rauf, the owner of a local gas station and convenience store, of approximately \$46,000. In the course of the robbery, one Crew member punched Rauf in the face.

2. *Tawfiq Robbery*: On October 5, 2011, in the Bronx, Barrett and another Crew member robbed Mubarak Tawfiq, a telephone calling cards dealer, of more than \$1,000 in cash but, after physically struggling with the victim, abandoned the effort.

3. *Abdulkader Attempted Robbery*: On October 10, 2011, also in the Bronx, Crew members (this time, without Barrett) attempted to rob convenience store owner Youssef Abdulkader. As the robbers approached, one brandishing a knife, Abdulkader dropped his cellphone and laptop computer and ran off.

4. *Goel Robbery*: That same day, in New Rochelle, New York, Crew members (again without Barrett) robbed Prashant Goel, a telephone calling cards dealer, of approximately \$6,000 in cash and

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thousands of dollars' worth of telephone calling cards. In committing this robbery, Crew members smashed the windows of Goel's car with baseball bats, slashed the car's tires with a knife, thrust the knife into the car to threaten Goel, and punched him.

5. *Salahi Robbery*: On October 29, 2011, in the Bronx, Barrett and other Crew members robbed poulterer Ahmed Salahi of \$15,000. Crew members had followed Salahi to a mosque and, when he exited, forced him at knifepoint into his car and drove him to his home. While Salahi lay on the floor of his car, one Crew member held a knife to his head, while another took Salashi's keys and entered his home. Inside were Salahi's brother Kassim Salahi with his 8- and 10-year old sons. Brandishing guns, Barrett and fellow Crew member Jermaine Dore ordered Kassim Salahi and his children to lie on the floor and not to make a sound. Meanwhile Crew members took the money they had sought from a closet, whereupon they left the home. These events informed Barrett's Count Three substantive Hobbs Act conviction, as well as his Counts Two and Four firearms convictions.

6. *Singh Attempted Robbery*: On November 14, 2011, Barrett and another Crew member attempted to rob gas station manager Jaspal Singh of cash proceeds from that business. Upon noticing a black Mercedes Benz trailing him from Mt. Vernon, New York, to the Bronx, Singh called the police. When police stopped the vehicle, Barrett, who was driving, consented to its search, resulting in the discovery of two baseball bats, but no further police action.

7. *Cornwall Robbery*: On December 5, 2011, in another Bronx robbery committed without Barrett, Crew members robbed Fitzroy Cornwall, who worked at Westchester Medical Center, of jewelry, his wallet, and the money contained therein. In committing this robbery,

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Crew members forcibly threw Cornwall to the ground and fired a gunshot into the air.

8. *Dafalla Attempted Robbery and Murder*: On December 12, 2011, Barrett and Crew members Dore and Taijay Todd robbed and killed Gamar Dafalla, events that support Barrett's Count Two firearms conviction, Count Five substantive Hobbs Act conviction, Count Six firearms conviction, and Count Seven firearms-murder conviction. The three Crew members, traveling in Barrett's Mercedes, had followed Dafalla to and from the Mt. Vernon site of a cash sale of untaxed cigarettes. As Barrett waited in the car, Todd and Dore approached the minivan in which Dafalla was traveling with Jamal Abdulla and Zhao Liang. With both Dore and Todd brandishing guns, the Crew members pulled Abdulla and Liang out of the minivan, entered the vehicle, and drove off with Dafalla. As they did so, Dafalla surreptitiously threw \$10,000 in sale proceeds out the window, where Abdulla recovered it. When Dore and Todd realized what had happened, Dore shot and killed Dafalla. Subsequent ballistics examination showed that the firearm that killed Dafalla was the same one discharged in the Cornwall robbery the previous week. After Dore was arrested, Barrett retrieved and disposed of the murder weapon, throwing it into the Hudson River.

9. *Althomory Robbery*: Only hours after the Dafalla murder, Barrett, Dore, and other Crew members struck again, this time robbing Bronx tobacco salesman Mohammed Althomory of approximately \$15,000. While one robber confronted Althomory directly at gunpoint, another approached him from behind and, wielding a knife, threatened to kill him if he yelled. The men then hit Althomory with sufficient force to knock him down and cause

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bleeding and made off with his money. This firearm use supports Barrett's Count Two conviction.

10. *Mohammed Robbery*: On December 31, 2011, Barrett again acted as the driver when Crew members robbed telephone calling cards supplier Ayoub Mohammed of approximately \$3,200. The robbery, which took place in a Bronx parking garage, was captured on video, which shows the robbers repeatedly punching Mohammed in the head, face, and arms, both before and after throwing him to the ground, whereupon they ran off with the bag containing his cash.

11. *Krco Robbery*: On January 7, 2012, Barrett, Dore, and another Crew member robbed Bronx wholesale bodega supplier Djujka Krco, of approximately \$1,800. Once again, Barrett acted as the driver, while Dore and the other robber threatened Krco at knifepoint and hit her. When she tried to run away, the robbers chased and grabbed her, hitting her again before taking her money.

## **II. Procedural History**

Barrett stood trial together with Crew member Dore on the seven counts of the Indictment. Four Crew members also named in the original Indictment pleaded guilty before trial; another was tried separately from Barrett and Dore and found guilty. Two Crew members and a number of robbery victims testified for the prosecution, and extensive physical and documentary evidence was adduced inculcating Barrett and Dore in the charged crimes.

On March 19, 2013, a jury found both defendants guilty of all seven counts of the Indictment. On July 16, 2014, the district court

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sentenced Barrett to an aggregate prison term of 90 years and an aggregate supervised release term of five years.<sup>2</sup>

This timely appeal followed.

## DISCUSSION

In his brief to this court, Barrett acknowledges that the trial evidence showed him to have been “a member of a violent robbery conspiracy,” during which “one man was killed, another was abducted, and several more were held at gunpoint and assaulted.” Def.’s Br. 3. Barrett nevertheless argues that his four firearms convictions—Counts Two, Four, Six, and Seven—must be vacated and the charges dismissed because Hobbs Act robbery predicates for those counts do not *categorically* satisfy the “crime of violence” requirement of § 924(c)(1). The argument fails on the merits.

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<sup>2</sup> The district court sentenced Barrett as follows:

Count One (Hobbs Act robbery conspiracy): 20 years;

Count Two (firearms use in course of Count One): 5 years (mandatory consecutive);

Count Three (substantive Hobbs Act robbery): 15 years (concurrent to Count Five, otherwise consecutive);

Count Four (firearms use in course of Count Three): 25 years (mandatory consecutive);

Count Five (substantive Hobbs Act robbery): 15 years (concurrent to Count Three, otherwise consecutive);

Count Six (firearms use in course of Count Five): 25 years (mandatory consecutive);

Count Seven (firearms use in course of Count Five resulting in death): 25 years (mandatory consecutive but merged with Count Six).

Dore was sentenced to a total prison term of 65 years, and this court has already affirmed his judgment of conviction. *See United States v. Dore*, 586 F. App’x 42 (2d Cir. 2014).

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## I. The Relevant Statutes

To explain our conclusion, we begin with the relevant statutory texts.

Section 924(c)(1) states the crime of conviction on challenged Counts Two, Four, and Six. As pertinent here, it reads as follows:

(A) . . . any person who, during and in relation to any crime of violence . . . for which the person may be prosecuted in a court of the United States, uses or carries a firearm or who, in furtherance of any such crime, possesses a firearm, shall, in addition to the punishment provided for such crime of violence . . .

- (i) be sentenced to a term of imprisonment of not less than 5 years;
- (ii) if the firearm is brandished, be sentenced to a term of imprisonment of not less than 7 years; and
- (iii) if the firearm is discharged, be sentenced to a term of imprisonment of not less than 10 years. . . .

(C) In the case of a second or subsequent conviction under this subsection, the person shall—

- (i) be sentenced to a term of imprisonment of not less than 25 years . . . .

18 U.S.C. § 924(c)(1).

Section 924(j), which states the crime of conviction on challenged Count Seven, reads in pertinent part as follows:

A person who, in the course of a violation of subsection (c), causes the death of a person through the use of a firearm, shall—

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- (1) if the killing is a murder (as defined in section 1111), be punished by death or by imprisonment for any term of years or for life . . . .

*Id.* § 924(j).

Section 924(c)(3) defines the “crime of violence” element of § 924(c)(1)(A) and, by incorporation, of § 924(j) as follows:

For purposes of this subsection the term “crime of violence” means an offense that is a felony and—

- (A) has as an element the use, attempted use, or threatened use of physical force against the person or property of another, or
- (B) that by its nature, involves a substantial risk that physical force against the person or property of another may be used in the course of committing the offense.

*Id.* § 924(c)(3).

As this text makes plain, the § 924(c)(3)(A) definition is traditionally categorical, identifying a crime of violence by reference to an element that requires the actual, attempted, or threatened use of force.<sup>3</sup> Barrett argues that neither Hobbs Act substantive nor conspiratorial robbery satisfies this § 924(c)(3)(A) definition. He further argues that § 924(c)(3)(B)’s residual definition, referencing an offense that “by its nature” involves “a substantial risk” of physical force, must be invalidated as unconstitutionally vague in light of *Sessions v. Dimaya*, 138 S. Ct. 1204 (holding similar residual clause definition of “crime of violence” in 18 U.S.C. § 16(b)

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<sup>3</sup> In contrast, a conduct-specific inquiry looks to the facts of the specific case.



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unconstitutionally vague<sup>4</sup>), and *Johnson v. United States*, 135 S. Ct. 2551 (reaching same conclusion regarding residual clause definition of “violent felony” applied to prior conviction under Armed Career Criminal Act (“ACCA”)<sup>5</sup>).

Before addressing these challenges, we set forth one further statutory text, defining substantive and conspiratorial Hobbs Act robbery:

(a) Whoever in any way or degree obstructs, delays, or affects commerce or the movement of any article or commodity in commerce, by robbery or extortion or attempts or conspires to do so, . . . shall be . . . imprisoned not more than twenty years . . . .

(b) As used in this section—

(1) The term “robbery” means the unlawful taking or obtaining of personal property from the person or in the presence of another, against his will, by means of

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<sup>4</sup> Title 18 U.S.C. § 16, which provides a general two-part definition of a “crime of violence,” states as follows:

The term “crime of violence” means—

- (a) an offense that has as an element the use, attempted use, or threatened use of physical force against the person or property of another, or
- (b) any other offense that is a felony and that, by its nature, involves a substantial risk that physical force against the person or property of another may be used in the course of committing the offense.

18 U.S.C. § 16.

<sup>5</sup> ACCA mandates an aggravated sentence for § 922(g) firearms crimes committed by persons with three or more prior violent felony or serious drug convictions. It defines “violent felony” to mean an offense that, among other things,

- (i) has as an element the use, attempted use, or threatened use of physical force against the person of another; or
- (ii) is burglary, arson, or extortion, involves use of explosives, or otherwise involves conduct that presents a serious potential risk of physical injury to another.

18 U.S.C. § 924(e)(2)(B).

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actual or threatened force, or violence, or fear of injury, immediate or future, to his person or property, or property in his custody or possession, or the person or property of a relative or member of his family or of anyone in his company at the time of the taking or obtaining.

18 U.S.C. § 1951.

## **II. Barrett’s Substantive Hobbs Act Robberies Are Categorical Crimes of Violence Under 18 U.S.C. § 924(c)(3)(A)**

Barrett first challenges his firearms conviction on Counts Four, Six and Seven on the ground that substantive Hobbs Act robberies are not crimes of violence under 18 U.S.C. § 924(c)(3)(A). This categorical challenge is defeated by *United States v. Hill*, 890 F.3d 51. *Hill* holds “that Hobbs Act robbery ‘has as an element the use, attempted use, or threatened use of physical force against the person or property of another’” and, thus, is a categorical “crime of violence under 18 U.S.C. § 924(c)(3)(A).” *Id.* at 53, 60 (quoting 18 U.S.C. § 924(c)(3)(A)).

The conclusion derives from the Hobbs Act’s definition of robbery quoted *supra* at 13–14. The *Hill* defendant had argued that the definition did not categorically satisfy § 924(c)(3)(A) because it was possible to put a robbery victim “in ‘fear of injury’ to his person or property, . . . without the ‘use, attempted use, or threatened use of physical force’” and, thus, “the minimum conduct necessary to commit a Hobbs Act robbery does not include the element necessary to qualify such robberies as crimes of violence for the purpose of § 924(c)(3)(A).” *Id.* at 57 (quoting first § 1951(b) and then § 924(c)(3)(A)) (emphasis in original). This court rejected the argument, observing, first, that the defendant had failed to show that

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in either “his own case or other cases,” the Hobbs Act had ever been applied in the absence of actual, attempted, or threatened force, so as to demonstrate a “realistic probability” that Hobbs Act robbery was not categorically a violent crime. *Id.* at 59 (quoting *Gonzales v. Duenas-Alvarez*, 549 U.S. 183, 193 (2007) (holding that to show predicate conviction not categorically a crime of violence “requires more than the application of legal imagination to . . . statute’s language”)).<sup>6</sup> Second, and in any event, the court explained that each of the fear-of-injury hypotheticals advanced to support defendant’s argument, in fact, entailed the “use, attempted use, or threatened use of physical force,” 18 U.S.C. § 924(c)(3), as that term has been construed by the Supreme Court. *See United States v. Hill*, 890 F.3d. at 58–60 (explaining that “‘physical force’ . . . means simply ‘violent force—that is, force capable of causing physical pain or injury to another person’” (quoting *Johnson v. United States*, 559 U.S. 133, 140 (2010) (emphasis in original))).

Following *Hill*’s holding, we conclude that the substantive Hobbs Act robberies for which Barrett stands convicted are categorical crimes of violence under 18 U.S.C. § 924(c)(3)(A) and, thus, support his § 924(c)(1)(A) convictions on Counts Four and Six, and his § 924(j) conviction on Count Seven.

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<sup>6</sup> Hill certainly used violent—indeed, deadly—force when, in the course of a Hobbs Act robbery, he shot and killed his target, a livery cab driver, in violation of 18 U.S.C. § 924(j)(1). *See United States v. Hill*, 890 F.3d at 52–53.

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**III. Barrett's Conspiracy To Commit Hobbs Act Robbery Conspiracy Is a Crime of Violence Under 18 U.S.C. § 924(c)(3)**

**A. Hobbs Act Robbery Conspiracy Is a Categorical Crime of Violence as Defined by § 924(c)(3)(A) Together with § 924(c)(3)(B)**

Barrett further challenges his firearms conviction on Count Two on the ground that a conspiracy to commit Hobbs Act robbery is not a crime of violence under 18 U.S.C. § 924(c)(3)(A) and § 924(c)(3)(B). Because the only crime at issue in *Hill* was substantive Hobbs Act robbery, this court had no occasion there to consider whether a Hobbs Act robbery *conspiracy* is also a crime of violence under the elements definition of § 924(c)(3)(A), or the residual definition of § 924(c)(3)(B).

In fact, it has long been the law in this circuit that a conspiracy to commit a crime of violence is itself a crime of violence under 18 U.S.C. § 924(c)(3). See *United States v. Desena*, 287 F.3d 170, 181 (2d Cir. 2002) (reaching conclusion with respect to conspiracy to commit assault in aid of racketeering); accord *United States v. Acosta*, 470 F.3d 132, 136–37 (2d Cir. 2006) (reaching conclusion with respect to conspiracy to injure, threaten, or intimidate person in exercise of civil rights). Indeed, we have so held with particular reference to Hobbs Act robbery conspiracy, see *United States v. Elder*, 88 F.3d 127, 129 (2d Cir. 1996), among other crimes, see, e.g., *United States v. Patino*, 962 F.2d at 267 (reaching conclusion with respect to kidnapping conspiracy). We have also so held in contexts other than § 924(c). See *United States v. Doe*, 49 F.3d 859, 866–67 (2d Cir. 1995) (recognizing Hobbs Act robbery conspiracy to be crime of violence under 18 U.S.C. § 16 for purposes of allowing government to proceed against

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juvenile as adult under Juvenile Delinquency Act); *United States v. Chimurenga*, 760 F.2d 400, 404 (2d Cir. 1985) (recognizing conspiracy to commit armed robbery as crime of violence for purposes of Bail Reform Act<sup>7</sup>).

The rationale was stated in *Chimurenga*, 760 F.2d at 404, and reiterated in *Patino*, on which subsequent cases rely.

[C]onspiracy, by its very nature, is a collective criminal effort where a common goal unites two or more criminals. Such a meeting of the minds enhances the likelihood that the planned crime will be carried out. Thus, when a conspiracy exists to commit a crime of violence, . . . the conspiracy itself poses a “substantial risk” of violence, which qualifies it under Section 924(c)(1) and Section 924(c)(3)(B) as a crime of violence.

*United States v. Patino*, 962 F.2d at 267 (citation omitted). Applying this precedent here, we conclude that if a substantive offense is categorically a crime of violence under § 924(c)(3)(A)—as *Hill* holds Hobbs Act robbery to be—a conspiracy to commit that crime, by its “very nature” presents a substantial risk of physical force, so as also to be a violent crime under § 924(c)(3)(B). *Id.*

In urging otherwise, Barrett argues that the cited precedent cannot survive *Dimaya* and *Johnson*. In *Dimaya*, an alien challenged a deportation order premised on a prior state conviction for first-

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<sup>7</sup> The Bail Reform Act defines a “crime of violence” similarly to § 924(c)(3), as follows:

(A) an offense that has as an element of the offense the use, attempted use, or threatened use of physical force against the person or property of another; [or]

(B) any other offense that is a felony and that, by its nature, involves a substantial risk that physical force against the person or property of another may be used in the course of committing the offense.

18 U.S.C. § 3156(a)(4).

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degree burglary, which immigration authorities held to be a crime of violence under the residual definition in 18 U.S.C. § 16(b). *See supra* at 13 n.4. In holding that provision unconstitutionally vague, *Dimaya* relied on reasoning earlier employed in *Johnson v. United States*, 135 S. Ct. at 2562–63, to invalidate ACCA’s residual definition of a crime of violence, specifically, the “hopeless indeterminacy” that resulted from tying a judicial assessment of risk to a crime’s hypothetical “ordinary case.” *Sessions v. Dimaya*, 138 S. Ct. at 1213 (internal quotation marks to *Johnson* omitted).

The identification of a crime’s “ordinary case” is “a distinctive form of . . . the categorical approach,” developed by the Supreme Court specifically for application to residual definitions of a crime of violence. *Id.* at 1211; *see James v. United States*, 550 U.S. 192, 207–08 (2007) (identifying “proper inquiry” for categorical application of a residual definition to be “whether the conduct encompassed by the elements of the offense, *in the ordinary case*, presents a serious potential risk of injury to another” (emphasis added), and rejecting argument that ACCA’s residual clause required prior crime of conviction to create risk of physical injury in “*all cases*” (emphasis in original)). In *Dimaya*, the Supreme Court held that construing § 16(b)’s residual definition of a crime of violence by reference to an “ordinary case” raised due process vagueness concerns because of “grave uncertainty” about (1) how judges should estimate the risk posed by a crime’s “ordinary case,” and (2) what “threshold level of risk” would make a crime a violent felony. *Sessions v. Dimaya*, 138 S. Ct. at 1211, 1213–14. While the latter concern was not a problem in itself, it became so when layered on top of the first. *See id.* at 1215–16; *Johnson v. United States*, 135 S. Ct. at 2561 (reaching same conclusion regarding ACCA residual clause).

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Section 924(c)(3)(B)'s definition of a violent crime is similar to that of § 16(b). Thus, Barrett argues that, after *Dimaya* and *Johnson*, a court cannot look to an “ordinary case” of Hobbs Act robbery conspiracy to identify the offense as a categorical crime of violence under § 924(c)(3)(B). That may be so.<sup>8</sup> Nevertheless, *Dimaya* and *Johnson* do not require us to abandon our *Patino/Chimurenga* line of precedent here. That is because there is no need to identify an “ordinary case” of Hobbs Act robbery conspiracy to make a violent crime determination under § 924(c)(3). See *Sessions v. Dimaya*, 138 S. Ct. at 1215–16 (observing that substantial risk standard posed constitutional concern only when applied to “‘judge-imagined abstraction,’—i.e., ‘an idealized ordinary case of the crime’ . . . . It is then that the standard ceases to work in a way consistent with due process.” (quoting *Johnson v. United States*, 135 S. Ct. at 2558, 2561)). We can do so simply by applying the elements of that crime to § 924(c)(3)(A) together with § 924(c)(3)(B), in short, by following the traditional categorical approach.

To explain, an element of any conspiracy is an agreement between two or more persons to commit an offense against the United States. See *United States v. Jimenez Recio*, 537 U.S. 270, 274

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<sup>8</sup> See *United States v. Eshetu*, 898 F.3d 36, 37 (D.C. Cir. 2018) (holding that *Dimaya*'s conclusion that § 16(b) is unconstitutionally vague compels conclusion that § 924(c)(3)(B) is also unconstitutionally vague because two statutes are “materially identical”); *United States v. Salas*, 889 F.3d 681, 685 (10th Cir. 2018) (holding that, as in *Dimaya* and *Johnson*, “ordinary-case requirement and an ill-defined risk threshold” compel conclusion that § 924(c)(3)(B) is unconstitutionally vague (internal quotation marks omitted)).

Neither *Eshetu* nor *Salas* address whether continued reliance on an ordinary-case standard makes sense for a predicate offense of a pending § 924(c)(1)(A) crime, or whether the canon of constitutional avoidance mandates a different interpretation of the statute. In *Eshetu*, the D.C. Circuit determined that it was bound by its own precedent to apply an ordinary-case approach to § 924(c)(3)(B), “[w]hatever the clean-slate merits” of a different approach by contrast. *United States v. Eshetu*, 898 F.3d at 37–38. Both points inform our discussion, *infra*, at III.B.1.

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(2003) (“The Court has repeatedly said that the essence of a conspiracy is an agreement to commit an unlawful act.” (alteration and internal quotation marks omitted)); *United States v. Praddy*, 725 F.3d 147, 153 (2d Cir. 2013) (“The essence of the crime of conspiracy, of course, is the *agreement* to commit one or more unlawful acts.” (emphasis in original) (internal quotation marks omitted)); *United States v. Chimurenga*, 760 F.2d at 404. Focusing first on the object offense part of the agreement element, a court properly considers whether that offense is a categorically violent crime under § 924(c)(3)(A). If it is not, that is the end of the categorical inquiry. But if the object offense is itself categorically violent—as *Hill* holds a Hobbs Act robbery to be, *see supra* at 14–15—a court then turns its attention to the agreement element’s requirement for two or more persons to join in a *common scheme* to achieve the object.

As the Supreme Court has observed in explaining why conspiracy is punished as a distinct crime, “[c]oncerted action both increases the likelihood that the criminal object will be successfully attained and decreases the probability that the individuals involved will depart from their path of criminality.” *Callanan v. United States*, 364 U.S. 587, 593 (1961). Applying that reasoning to conspiracies to commit categorically violent crimes, this court has held that the agreement element of conspiracy so heightens the likelihood that the violent objective will be achieved that the conspiracy itself can be held categorically to present a substantial risk of physical force. *See United States v. Chimurenga*, 760 F.2d at 404 (“The existence of a criminal grouping increases the chances that the planned crime will be committed beyond that of a mere possibility. Because the conspiracy itself provides a focal point for collective criminal action, attainment of the conspirators’ objectives becomes instead a



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significant *probability*.” (emphasis in original)). In sum, the agreement element means, “*in each case* that the [Hobbs Act robbery conspiracy] crime covers,” the risk of force is present. *Sessions v. Dimaya*, 138 S. Ct. at 1211 (emphasis added) (distinguishing categorical approach based on elements from approach based on hypothetical “ordinary case”).

Thus, we conclude that *Dimaya* and *Johnson* do not preclude reliance on our *Patino/Chimurenga* precedent here because we do not employ “ordinary case” analysis to determine if Hobbs Act robbery conspiracy is a violent crime as required by § 924(c)(1). Rather, we make that determination under traditional categorical analysis by reference only to the crime’s elements as applied to both § 924(c)(3)(A) and § 924(c)(3)(B).<sup>9</sup> Accordingly, we affirm Barrett’s conviction on Count Two.

## **B. Barrett’s Hobbs Act Robbery Conspiracy Is a Crime of Violence on a Conduct-Specific Application of § 924(c)(3)(B)**

### **1. A Conduct-Specific Approach to § 924(c)(3)(B) Is a Reasonable Construction of the Statute that Avoids Constitutional Concerns Identified in *Dimaya* and *Johnson***

Even if the elements of Hobbs Act robbery conspiracy did not thus establish it as a crime of violence on a traditional categorical application of § 924(c)(3)(A) and § 924(c)(3)(B), Barrett would not be

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<sup>9</sup> To the extent our precedent has not always been clear in identifying a conspiracy’s object offense as a violent crime under the elements definition of § 924(c)(3)(A), we do not pursue the point. We conclude only that, where, as here, the elements establish an object offense as a categorical crime of violence under § 924(c)(3)(A), the conspiracy itself—by virtue of its agreement element—is a categorical crime of violence under § 924(c)(3)(B).

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entitled to relief from his § 924(c)(1) conviction on Count Two. Section 924(c)(3)(B) can be applied to a defendant's case-specific conduct, with a jury making the requisite findings about the nature of the predicate offense and the attending risk of physical force being used in its commission. Such a conduct-specific approach avoids both the Sixth Amendment right-to-trial and due process vagueness concerns identified in *Dimaya* and *Johnson*.

Barrett argues that a conduct-specific approach is foreclosed by our precedent categorically identifying crimes of violence under § 924(c)(3)(B). See *United States v. Ivezaj*, 568 F.3d 88, 95 (2d Cir. 2009); *United States v. Acosta*, 470 F.3d at 134–35. But these cases followed that course before *Dimaya* and *Johnson* held that the accepted categorical approach to residual clauses—the ordinary-case standard—was unconstitutionally vague. The parties agree that *Dimaya* “raises serious constitutional questions” as to the continued viability of a categorical approach to § 924(c)(3)(B). Gov. 2018 Supp. Br. 6; Def. 2018 Supp. Br. 4. Where an intervening Supreme Court decision thus casts doubt on our prior precedent, a panel of this court is not foreclosed from considering whether the statutory text might be construed in a different way to avoid the constitutional concerns identified by the Supreme Court. See generally *In re Zarnel*, 619 F.3d 156, 168 (2d Cir. 2010).<sup>10</sup> Indeed, it is an “elementary rule” in construing acts of Congress that “every reasonable construction must be resorted to, in order to save a statute from unconstitutionality.” *Skilling v. United States*, 561 U.S. 358, 406 (2010)

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<sup>10</sup> In deciding it was bound by its own precedent on this issue, the D.C. Circuit in *Eshetu* was apparently applying a more stringent standard for overruling a prior panel decision. See *United States v. Eshetu*, 898 F.3d at 38 (requiring an intervening Supreme Court decision to establish that the prior panel decision “is clearly an incorrect statement of current law.” (quoting *United States v. Dorcely*, 454 F.3d 366, 373 n.4 (D.C. Cir. 2006))).

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(emphasis and internal quotation marks omitted); see *INS v. St. Cyr*, 533 U.S. 289, 300 (2001) (recognizing court’s obligation to identify statutory construction that avoids constitutional problems if it is “fairly possible” to do so (internal quotation marks omitted)).

Following that mandate, we begin with the Supreme Court’s acknowledgment in both *Dimaya* and *Johnson* that no constitutional vagueness inheres in a substantial-risk definition of a crime of violence when applied to case-specific conduct. See *Sessions v. Dimaya*, 138 S. Ct. at 1215 (observing that “‘we do not doubt’ the constitutionality of applying [a] ‘substantial risk [standard] to real-world conduct’” (second brackets in original) (quoting *Johnson v. United States*, 135 S. Ct. at 2561)). Such a conduct-specific application is, in fact, well suited to § 924(c)(3)(B) because the statute applies only to the predicate offense of a *pending* § 924(c)(1)(A) charge. To return a guilty verdict on such a firearms charge, a jury must find that the defendant used the firearm during and in relation to a “crime of violence” as defined in § 924(c)(3). Before *Dimaya* and *Johnson*, it was thought that the identification of a predicate offense as a crime of violence was a question of law for categorical determination by the court. If, following *Dimaya* and *Johnson*, a court can no longer make such a determination of law under § 924(c)(3)(B)’s residual definition (because the categorical ordinary-case standard is unconstitutionally vague), then § 924(c)(3)(B) is reasonably construed to present a question of fact to be found by the trial jury according to the defendant’s “real-world conduct.” *Id.* (internal quotation marks omitted); cf. *United States v. Gaudin*, 515 U.S. 506, 518–23 (1995) (holding that materiality element of fraud, long decided as question of law by courts, was question of fact that had to be submitted to jury). Submitting § 924(c)(3)(B) determinations to trial juries for

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conduct-specific determinations thus avoids not only the constitutional vagueness concerns that *Dimaya* and *Johnson* located in the categorical ordinary-case standard, but also the Sixth Amendment right-to-trial concern that originally prompted the Supreme Court to mandate a categorical approach to residual definitions of crimes of violence.

The categorical approach was introduced in *Taylor v. United States*, 495 U.S. 575 (1990). At issue there was not ACCA's residual clause, but one of the specified crimes of conviction that immediately precede the clause, specifically, burglary. See 18 U.S.C. § 924(e)(2)(B)(ii) (quoted *supra* at 13 n.5). Concluding that ACCA referred to burglary in the generic sense, not as defined by each of the 50 states, the Supreme Court held that the government could not introduce evidence about the "particular facts" of a defendant's prior crime to prove that his conviction was for generic burglary. *Taylor v. United States*, 495 U.S. at 600. Instead, the Court mandated a "categorical approach" that "look[ed] only to the fact of conviction and the statutory definition," *i.e.*, the elements, of generic burglary. *Id.* at 602; *see id.* at 598–99 (identifying "elements" of generic burglary and holding that if defendant "is convicted of any crime . . . having the[se] basic elements," he has been convicted of burglary for purposes of ACCA).

In rejecting a conduct-specific approach, the Court cited the statutory text, which specifically referred to "convictions" rather than conduct, as well as legislative history, which had once included a generic definition of burglary in ACCA. *Id.* at 600–01. But more potent still were the perceived "practical difficulties and potential unfairness of a factual approach," especially the specter of evidentiary hearings and judicial factfinding reaching beyond the

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record of conviction and possibly “abridging [the defendant’s Sixth Amendment] right to a jury trial.” *Id.* at 601; accord *Shepard v. United States*, 544 U.S. 13, 25 (2005) (observing, in context of prior state conviction based on guilty plea, that subsequent judicial factfinding as to “what the defendant and state judge must have understood as the factual basis” implicated “Sixth and Fourteenth Amendments['] guarantee [that] a jury [will] stand[] between a defendant and the power of the State . . . to increase the ceiling of a potential sentence”). In short, constitutional avoidance informed the original categorical-approach mandate. See *Sessions v. Dimaya*, 138 S.Ct. at 1217 (acknowledging that Supreme Court adopted a categorical approach to identification of violent crimes “in part to avoid the Sixth Amendment concerns that would arise from . . . courts’ making findings of fact that properly belong to juries” (internal quotation marks and alteration omitted)); see *id.* at 1256 (Thomas, *J.*, with Kennedy, Alito, *JJ.*, dissenting) (observing that “categorical approach was never really about the best reading of the text. . . . [T]his Court adopted that approach to avoid a potential Sixth Amendment problem with sentencing judges conducting minitrials to determine a defendant’s past conduct.”).

In *James v. United States*, 550 U.S. 192, the Supreme Court extended *Taylor’s* categorical approach to ACCA’s residual clause, but doing so required modification. To make a categorical determination of when a statutorily unspecified crime—in that case attempted burglary—posed “a serious potential risk of physical injury to another,” 18 U.S.C. § 924(e)(2)(B)(ii), *James* held that “the proper inquiry is whether the conduct encompassed by the elements of the offense, *in the ordinary case*, presents a serious potential risk of injury to another,” *James v. United States*, 550 U.S. at 208 (emphasis

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added). Thus, unlike the traditional categorical approach, which considered how the elements of a crime apply in every case, the ordinary-case standard did not demand “that every conceivable factual offense covered by a statute . . . necessarily present a serious potential risk of injury before the offense can be deemed a violent felony.” *Id.* at 207–08 (rejecting argument that “all cases” of attempted burglary must present that risk (emphasis in original)). Dissenting in *James*, Justice Scalia suggested that ACCA’s residual clause was unconstitutionally vague as applied to such a hypothetical “ordinary case.” *Id.* at 230 (Scalia, J., dissenting).

Within a decade, that view would command a Supreme Court majority: “We are convinced that the indeterminacy of the wide-ranging inquiry required by the residual clause both denies fair notice to defendants and invites arbitrary enforcement by judges.” *Johnson v. United States*, 135 S. Ct. at 2557. In reaching this conclusion, the Supreme Court observed, as noted *supra* at 22–23, that it did “not doubt the constitutionality of laws that call for the application of a qualitative standard such as ‘substantial risk’ to real-world conduct.” *Id.* at 2561. The problem was with the application of that standard “to an idealized ordinary case of the crime.” *Id.*

Because the elements necessary to determine the imaginary ideal are uncertain both in nature and degree of effect, this abstract inquiry offers significantly less predictability than one that deals with the actual, not with an imaginary condition other than the facts.

*Id.* (internal quotation marks omitted).

The *Johnson* majority, however, declined to “save the residual clause from vagueness” by construing its risk requirement by reference to defendant’s actual conduct rather than an idealized case.

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*Id.*; see *id.* at 2577–80 (Alito, *J.*, dissenting) (urging alternative construction). It explained that (1) the government had not argued for abandonment of a categorical approach in residual-clause cases, see *id.* at 2562; and (2) “good reasons” supported *Taylor’s* adoption of a categorical approach, specifically, (a) ACCA’s textual emphasis on convictions rather than conduct, and (b) “the utter impracticability of requiring a sentencing court to reconstruct, long after the original conviction, the conduct underlying that conviction,” *id.*

*Sessions v. Dimaya* relied on *Johnson* to hold unconstitutionally vague § 16(b)’s residual clause—there being applied to a prior state burglary conviction supporting a deportation order. See 138 S. Ct. at 1210–11. The problem, as in *Johnson*, was not that the residual clause identified crimes by reference to a substantial-risk standard but, rather, that a categorical identification of such risk depended on an idealized ordinary case. *Dimaya* observed that there was “‘no reliable way’ to discern what the ordinary version of any offense looked like,” without which “no one could tell how much risk the offense generally imposed.” *Id.* at 1214 (quoting *Johnson v. United States*, 135 S. Ct. at 2558). That, in turn, made it impossible to identify a categorical case of “‘substantial risk’ . . . in a way consistent with due process.” *Id.* at 1215–16 (quoting *Johnson v. United States*, 135 S. Ct. at 2561).

As in *Johnson*, dissenters suggested abandoning the constitutionally suspect ordinary-case standard in favor of a conduct-specific inquiry. See *id.* at 1252–56 (Thomas, *J.*, with Kennedy, Alito, *JJ.*, dissenting) (“Instead of asking whether the ordinary case of an alien’s offense presents a substantial risk of physical force, courts should ask whether the alien’s actual underlying conduct presents a substantial risk of physical force.”). A

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plurality declined to do so, citing four reasons. First, as in *Johnson*, the government had not urged such a construction of the residual clause. To the contrary, at “every step” of the *Dimaya* litigation, the government had “conceded . . . the correctness of [the ordinary-case] construction,” and this, despite “the *Johnson* dissent [having] laid out the opposite view.” *Id.* at 1217.<sup>11</sup> Second, a conduct-specific construction would “generate its own constitutional questions,” specifically, “the Sixth Amendment concerns that would arise from sentencing courts’ making findings of fact that properly belong to juries.” *Id.* (internal quotation marks omitted).<sup>12</sup> Third, the phrase “by its nature” in § 16(b) “demands a categorical approach” because it “tells courts to figure out what an offense normally—or . . . ordinarily—entails, not what happened to occur on one occasion.” *Id.* at 1217–18 (internal quotation marks omitted). Finally, “the utter impracticability” and “daunting difficulties of accurately reconstructing, often many years later, the conduct underlying a conviction” is as great under § 16(b) as under ACCA. *Id.* (internal quotation marks and alterations omitted).

As this summary makes evident, the mandate for a categorical approach to residual definitions of violent crimes has developed in a singular context: *judicial* identification of what crimes (most often, state crimes) of *prior* conviction fit federal definitions of violent

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<sup>11</sup> While the government’s concession informed the Court’s decision not to consider a conduct-specific construction of § 16(b)’s residual clause, members of the majority acknowledged that the government could not foreclose such consideration. *See id.* (plurality opinion); *see also id.* at 1232–33 (Gorsuch, *J.*, *concurring in part*) (observing that “normally courts do not rescue parties from their concessions,” but expressing openness “to different arguments about . . . proper reading of language like this . . . in another case”).

<sup>12</sup> The *Dimaya* plurality identified this constitutional concern despite the fact that the right to a jury trial did not apply to the removal proceeding there at issue, explaining that “§ 16(b) is a criminal statute, with criminal sentencing consequences” and had to be interpreted consistently, whether encountered in a criminal or noncriminal context. *Id.*



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crimes so as to expose a defendant to enhanced penalties or other adverse consequences in *subsequent* federal proceedings. In no case has the Supreme Court considered a residual definition of violent crime that, like § 924(c)(3)(B), defines a predicate offense for a crime of *pending* prosecution.

The distinction is significant. As the cited cases repeatedly emphasize, *post-conviction*, a *judicial* identification of crimes of violence must be categorical because a conduct-specific factual inquiry at that point would raise Sixth Amendment concerns. A categorical approach to residual definitions, however, may not be possible even in that context because, as *Dimaya/Johnson* hold, the “ordinary case” standard devised for that purpose is unconstitutionally vague. *See id.* at 1254 (Thomas, *J.*, with Kennedy, Alito, *JJ.*, dissenting) (“The Court’s attempt to avoid the Scylla of the Sixth Amendment steered it straight into the Charybdis of the Fifth. The ordinary-case approach that was created to honor the individual right to a jury is now, according to the Court, so vague that it deprives individuals of due process.”).

Section 924(c)(3), however, is not concerned with prior convictions. It pertains only to § 924(c)(1) crimes of *pending* prosecution. This means that a conduct-specific identification of a predicate offense as a crime of violence can be made without raising either of the constitutional concerns that have informed the Supreme Court’s categorical-approach jurisprudence. The Sixth Amendment concern is avoided because the trial jury, in deciding whether a defendant is guilty of using a firearm “during and in relation to any crime of violence,” 18 U.S.C. § 924(c)(1)(A), can decide whether the charged predicate offense is a crime of violence as defined in § 924(c)(3)(B), *i.e.*, whether the felony offense “by its nature, involves

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a substantial risk that physical force against the person or property of another may be used in the course of committing the offense,” 18 U.S.C. § 924(c)(3)(B). As for due process, we have already highlighted the Supreme Court’s acknowledgement in both *Dimaya* and *Johnson* that a finding of “substantial risk” of physical injury can be made based on “real-world conduct” without any of the vagueness concerns raised by ordinary-case review. *See supra* at 22–23, 26.

Barrett nevertheless maintains that the statutory text precludes conduct-specific application, specifically, the phrase “by its nature,” which modifies the felony offenses qualifying as crimes of violence under § 924(c)(3)(B). We are not persuaded. To be sure, the *Dimaya* plurality construed similar language in 18 U.S.C. § 16(b) to “demand[] a categorical approach,” citing that as one of four reasons for not abandoning the categorical approach in favor of a conduct-specific inquiry. *Sessions v. Dimaya*, 138 S. Ct. at 1217. But the plurality had already concluded that such a substitution would not achieve constitutional avoidance because a conduct-specific application of § 16(b) to a crime of *prior* conviction would only replace one constitutional concern (vagueness) with another (abridgment of the right to trial by jury). *See id.*

That is not the case here. While constitutional vagueness may preclude categorical application of § 924(c)(3)(B) after *Johnson* and *Dimaya*, a conduct-specific application raises no Sixth Amendment concerns because all relevant factfinding would be made by the trial jury. Thus, constitutional avoidance is not an impossibility here, as the plurality thought it was in *Dimaya*.

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We recognize that the word “nature” as used in the phrase “by its nature” is commonly understood to mean “the basic or inherent features, character, or qualities of something,” Oxford Dictionary of English 1183 (3d ed. 2010); a “normal and characteristic quality,” Webster’s Third New International Dictionary 1507 (2002). We also recognize that the “something” whose nature is referenced in § 924(c)(3)(B) is the predicate “offense.” But nothing in these definitions indicates whether the offense whose inherent characteristics are to be considered is the generic crime or the particular one charged. In *Nijhawan v. Holder*, 557 U.S. 29 (2009), the Supreme Court recognized that words such as “crime,” “felony,” and “offense” can be used in both respects, “sometimes refer[ring] to a generic crime . . . and sometimes refer[ring] to the specific acts in which an offender engaged on a specific occasion.” *Id.* at 33–34. Thus, while both constructions are reasonable, because a generic—*i.e.*, ordinary-case—construction raises a constitutional vagueness concern, while a conduct-specific approach does not, we heed the principle of constitutional avoidance and conclude that the identification of a crime of violence under § 924(c)(3)(B) is properly made by a jury on a conduct-specific basis.<sup>13</sup>

Nor is a different conclusion warranted because a court would decide whether a predicate offense was a crime of violence under § 924(c)(3)(A), while the jury would decide whether it was a crime of violence under § 924(c)(3)(B). Such divisions are not uncommon when related matters raise questions of both law and fact. *See, e.g.*,

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<sup>13</sup> *See Chapman v. United States*, No. 1:03-cr-296-6(LMB), 2018 WL 3470304, at \*12–13 (E.D. Va. July 19, 2018) (concluding that, after *Dimaya*, constitutional avoidance compels conduct-specific approach to § 924(c)(3)(B)); *see also United States v. Blanco*, 16 Cr. 408 (CS) (S.D.N.Y.) (submitting question of whether predicate offense was crime of violence under § 924(c)(3)(B) to jury).

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*United States v. Davis*, 726 F.3d 357, 368 (2d Cir. 2013) (holding that whether crime took place within special maritime and territorial jurisdiction of United States requires two separate inquiries, one “a factual question for the jury,” other a “legal question that a court may decide on its own”). Indeed, because the § 924(c)(3) definitions of a crime of violence apply only in the context of a pending § 924(c)(1)(A) prosecution, both definitions are necessarily linked to a jury assessment of whether the alleged predicate crime of violence was, in fact, committed. *See generally Johnson v. United States*, 779 F.3d 125, 129–30 (2d Cir. 2015) (holding that so long as proof is legally sufficient to allow jury to find that predicate § 924(c)(1)(A) offense was committed, defendant need not be separately charged with and convicted of that offense).

Barrett argues that a conduct-specific approach would lead to inconsistent results, with certain crimes being found to satisfy the § 924(c)(3)(B) definition in some cases but not in others. But the distinction would be based on a jury finding of real-world conduct, which properly distinguishes among criminal cases charging the same crime. *See Johnson v. United States*, 135 S. Ct. at 2561 (recognizing that criminal culpability can depend on “matter of degree” (internal quotation marks omitted)). Indeed, it is far preferable for a jury to be able to distinguish between crimes, such as extortion threatening only reputational harm and extortion employing violent, even deadly, force, *see* 18 U.S.C. § 1951(a), than for it to be told, as a matter of law, that neither offense is a violent crime.<sup>14</sup>

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<sup>14</sup> Conduct-specific jury determinations avoid that feature of the categorical approach most criticized by respected judges: compelling “willful blindness” to known facts. *United States v. Lewis*, 720 F. App’x 111, 120 (3d Cir. 2018) (Roth, J., concurring); *see United States v. Davis*,

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Accordingly, because a § 924(c)(3)(B) determination can be made by a trial jury based on a defendant's real-world conduct without raising either due process or Sixth Amendment concerns, *Dimaya* and *Johnson* do not necessarily compel invalidation of Barrett's conviction on Count Two.

## **2. The Failure To Submit the § 924(c)(3)(B) Determination to the Jury in this Case Was Harmless Error**

Even if a conduct-specific § 924(c)(3)(B) determination can be made by a jury, that was not done here. Nevertheless, we can affirm Barrett's Count Two conviction because the failure to submit a § 924(c)(3)(B) inquiry to the jury was harmless error beyond a reasonable doubt.

The Supreme Court has held that the "omission of an element" from a jury charge "is subject to harmless-error analysis." *Neder v. United States*, 527 U.S. at 15; accord *United States v. Agrawal*, 726 F.3d 235, 257 (2d Cir. 2013). The relevant inquiry "is whether it appears beyond a reasonable doubt" that the omission "did not contribute to the verdict obtained." *Neder v. United States*, 527 U.S. at 15 (internal quotation marks omitted). In conducting that inquiry, a court does

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875 F.3d 592, 595 (11th Cir. 2017) (opinion by Carnes, C.J.) (observing that categorical approach carries judges "down the rabbit hole . . . to a realm where we must close our eyes as judges to what we know as men and women"); *United States v. Chapman*, 866 F.3d 129, 138 (3d Cir. 2017) (Jordan, J., concurring) (stating that categorical approach "often asks judges to feign amnesia," to "ignore facts already known and instead proceed with eyes shut"); *United States v. Faust*, 853 F.3d 39, 61 (1st Cir. 2017) (Lynch, J., concurring) (observing that categorical approach "can lead courts to reach counterintuitive results"); *United States v. Doctor*, 842 F.3d 306, 313 (4th Cir. 2016) (Wilkinson, J., concurring) (stating that categorical approach has caused judges to "swap[] factual inquiries for an endless gauntlet of abstract legal questions," resulting in their "paradoxically finding even the worst and most violent offenses not to constitute crimes of violence"). Even assuming that this unsatisfactory feature is compelled by the Sixth Amendment for post-conviction judicial identifications of crimes of violence, it need not obtain with respect to jury identifications based on real-world conduct proved at defendant's trial.

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not itself weigh the evidence. It asks only “whether the record contains evidence that could rationally lead to a contrary finding with respect to the omitted element.” *Id.* at 19. If it could not, then the omission is harmless beyond a reasonable doubt. *See id.*

That is this case. As detailed in the fact section of this opinion, violence was the very hallmark of the charged conspiracy. Each of the eight robberies and three attempted robberies discussed *supra* at 6–9 used, attempted to use, or planned to use physical force. Victims were routinely punched, sometimes with sufficient force to break bones, draw blood, or result in a loss of consciousness. Victims’ lives were threatened at knifepoint and gunpoint. Baseball bats were used to shatter the glass windows of a victim’s car while he was in it and then to threaten him with physical injury. Guns were not only brandished, but also discharged, in one case point blank to kill a robbery target who had evaded the conspirators’ attempt to rob him of cash that he was transporting. This real-world evidence can only support a finding that the charged conspiracy, *by its nature*, involved a substantial risk of the use of physical force. Indeed, no other conclusion is rationally possible. Thus, the failure to submit the § 924(c)(3) inquiry to the jury is necessarily harmless error beyond a reasonable doubt.

Accordingly, we affirm Barrett’s conviction on Count Two because (1) following our precedent by reference only to the elements of a conspiracy to commit Hobbs Act robbery, that offense is a categorical crime of violence as defined by § 924(c)(3)(A) together with § 924(c)(3)(B); and (2) § 924(c)(3)(B) is not invalid after *Dimaya/Johnson* because it can reasonably be construed to warrant conduct-specific application by a trial jury, and the trial evidence here

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admits no rational finding but that the Hobbs Act robbery conspiracy was a crime of violence under that statutory section.

## CONCLUSION

To summarize, we hold as follows:

1. Our decision in *United States v. Hill*, 890 F.3d 51 (2d Cir. 2018), compels the conclusion that the predicate substantive Hobbs Act robberies supporting Barrett's § 924(c)(1)(A) and (j) convictions on Counts Four, Six, and Seven are categorical crimes of violence as defined in 18 U.S.C. § 924(c)(3)(A).

2. The predicate Hobbs Act robbery conspiracy supporting Barrett's § 924(c)(1)(A) conviction on Count Two is a crime of violence because,

a. our precedent recognizes a conspiracy to commit a categorical crime of violence as itself a categorical crime of violence, and we can apply that precedent here to § 924(c)(3)(A) together with § 924(c)(3)(B) by reference only to the elements of a Hobbs Act robbery conspiracy;

b. § 924(c)(3)(B) is not unconstitutionally vague after *Sessions v. Dimaya*, 138 S. Ct. 1204 (2018), and *Johnson v. United States*, 135 S. Ct. 2551 (2015), because it can be construed to warrant conduct-specific application by the jury that decided Barrett's § 924(c)(1)(A) guilt, thereby avoiding both the due process and Sixth Amendment concerns noted in those cases; and

c. although no § 924(c)(3)(B) inquiry was submitted to the jury in this case, the error was harmless beyond a reasonable

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doubt because the record evidence of beatings, shootings, and murder in the course of the robbery conspiracy admits no other rational finding but that the charged conspiracy was a crime of violence under that statutory definition.

Accordingly, for the reasons stated in this opinion, as well as the summary order filed today, we AFFIRM the judgment of conviction.



**CERTIFICATE OF COMPLIANCE**

We certify that this petition is proportionally spaced, has a typeface of 14 points or more, and contains 3,900 words.

DATED: October 22, 2018

\_\_\_\_\_/s/\_\_\_\_\_  
KELLEY J. SHARKEY  
MATTHEW B. LARSEN

**CERTIFICATE OF SERVICE**

We certify that on October 22, 2018, the foregoing petition was filed with the Clerk of the United States Court of Appeals for the Second Circuit by means of the CM/ECF system. We further certify that counsel for appellee, Assistant United States Attorney Michael Maimin, is a registered user of the CM/ECF system and that service was accomplished through that system.

DATED: October 22, 2018

\_\_\_\_\_/s/\_\_\_\_\_  
KELLEY J. SHARKEY  
MATTHEW B. LARSEN